

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

OAG
VALIC FINANCIAL ADVISORS, INC.
BROKER DEALER OPERATIONS
2929 ALLEN PARKWAY
HOUSTON, TX 77019

SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2009

Securities Listed on New York Stock Exchange

Summary Statistics :

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders | 96.22% |
| Limit orders as percentage of total non-directed orders | 2.91% |
| Other orders as percentage of total non-directed orders | 0.87% |

Venues Receiving Significant Percentage of Total Non-Directed Orders :

| | |
|--|--------|
| 1. Knight Capital Markets, L.L.C. | 41.83% |
| 2. Automated Trading Desk Financial Services, L.L.C. | 40.93% |
| 3. UBS Securities, L.L.C. | 5.75% |
| 4. National Financial Services, L.L.C. | 5.24% |
| 5. Citadel Derivatives Group LLC | 4.91% |
| 6. Citigroup Global Markets, Inc. | 1.16% |
| 7. New York Stock Exchange | 0.12% |
| 8. UBS Securities LLC | 0.05% |

Information Concerning Significant Venues :

1. Knight Capital Markets, L.L.C.

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 41.97% |
| Limit orders as percentage of total limit orders | 28.84% |
| Other orders as percentage of total other orders | 70.31% |

2. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 41.10% |
| Limit orders as percentage of total limit orders | 39.53% |
| Other orders as percentage of total other orders | 26.56% |

3. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 5.71% |
| Limit orders as percentage of total limit orders | 8.37% |
| Other orders as percentage of total other orders | 1.56% |

4. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 5.40% |
| Limit orders as percentage of total limit orders | 1.40% |
| Other orders as percentage of total other orders | 0.00% |

5. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

| | |
|--|--------|
| Market orders as percentage of total market orders | 4.49% |
| Limit orders as percentage of total limit orders | 20.00% |
| Other orders as percentage of total other orders | 1.56% |

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 1.21% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

7. New York Stock Exchange

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.07% |
| Limit orders as percentage of total limit orders | 1.86% |
| Other orders as percentage of total other orders | 0.00% |

8. UBS Securities LLC

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.06% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders | 96.26% |
| Limit orders as percentage of total non-directed orders | 2.98% |
| Other orders as percentage of total non-directed orders | 0.76% |

Venues Receiving Significant Percentage of Total Non-Directed Orders :

| | |
|--|--------|
| 1. Automated Trading Desk Financial Services, L.L.C. | 37.33% |
| 2. Citadel Derivatives Group LLC | 30.87% |
| 3. Knight Equity Markets, L.P. | 15.90% |
| 4. UBS Securities, L.L.C. | 8.05% |
| 5. National Financial Services, L.L.C. | 3.63% |
| 6. Citigroup Global Markets, Inc. | 3.51% |
| 7. Citigroup Global Markets, Inc. | 0.72% |

Information Concerning Significant Venues :

| | |
|--|--------|
| 1. Automated Trading Desk Financial Services, L.L.C. | |
| Types of Orders Routed to Venue : | |
| Market orders as percentage of total market orders | 37.56% |
| Limit orders as percentage of total limit orders | 36.71% |
| Other orders as percentage of total other orders | 10.00% |
| 2. Citadel Derivatives Group LLC | |
| Types of Orders Routed to Venue : | |
| Market orders as percentage of total market orders | 31.44% |
| Limit orders as percentage of total limit orders | 18.99% |
| Other orders as percentage of total other orders | 5.00% |
| 3. Knight Equity Markets, L.P. | |
| Types of Orders Routed to Venue : | |
| Market orders as percentage of total market orders | 15.27% |
| Limit orders as percentage of total limit orders | 24.05% |
| Other orders as percentage of total other orders | 65.00% |
| 4. UBS Securities, L.L.C. | |
| Types of Orders Routed to Venue : | |
| Market orders as percentage of total market orders | 7.61% |
| Limit orders as percentage of total limit orders | 18.99% |
| Other orders as percentage of total other orders | 20.00% |
| 5. National Financial Services, L.L.C. | |
| Types of Orders Routed to Venue : | |
| Market orders as percentage of total market orders | 3.73% |
| Limit orders as percentage of total limit orders | 1.27% |
| Other orders as percentage of total other orders | 0.00% |

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 3.65% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

7. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.75% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

Securities Listed on NYSE Amex or Regional Exchanges

Summary Statistics :

| | |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total non-directed orders | 88.12% |
| Limit orders as percentage of total non-directed orders | 8.06% |
| Other orders as percentage of total non-directed orders | 3.82% |

Venues Receiving Significant Percentage of Total Non-Directed Orders :

| | |
|--|--------|
| 1. Automated Trading Desk Financial Services, L.L.C. | 32.74% |
| 2. Citadel Derivatives Group LLC | 31.32% |
| 3. Knight Capital Markets, L.L.C. | 23.20% |
| 4. UBS Securities, L.L.C. | 12.49% |
| 5. Domestic Securities Inc. | 0.12% |
| 6. National Financial Services, L.L.C. | 0.12% |

Information Concerning Significant Venues :

| | |
|--|--------|
| 1. Automated Trading Desk Financial Services, L.L.C. | |
| Types of Orders Routed to Venue : | |
| Market orders as percentage of total market orders | 36.24% |
| Limit orders as percentage of total limit orders | 6.11% |
| Other orders as percentage of total other orders | 8.06% |
| 2. Citadel Derivatives Group LLC | |
| Types of Orders Routed to Venue : | |
| Market orders as percentage of total market orders | 32.61% |
| Limit orders as percentage of total limit orders | 27.48% |
| Other orders as percentage of total other orders | 9.68% |
| 3. Knight Capital Markets, L.L.C. | |
| Types of Orders Routed to Venue : | |
| Market orders as percentage of total market orders | 21.72% |
| Limit orders as percentage of total limit orders | 22.14% |
| Other orders as percentage of total other orders | 59.68% |
| 4. UBS Securities, L.L.C. | |
| Types of Orders Routed to Venue : | |
| Market orders as percentage of total market orders | 9.22% |
| Limit orders as percentage of total limit orders | 43.51% |
| Other orders as percentage of total other orders | 22.58% |
| 5. Domestic Securities Inc. | |
| Types of Orders Routed to Venue : | |
| Market orders as percentage of total market orders | 0.07% |
| Limit orders as percentage of total limit orders | 0.76% |
| Other orders as percentage of total other orders | 0.00% |

6. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

| | |
|--|-------|
| Market orders as percentage of total market orders | 0.14% |
| Limit orders as percentage of total limit orders | 0.00% |
| Other orders as percentage of total other orders | 0.00% |

Exchange - Listed Options

Summary Statistics :

| | |
|--|-------|
| Non-directed orders as percentage of total customer orders | 0.00% |
| Market orders as percentage of total non-directed orders | 0.00% |
| Limit orders as percentage of total non-directed orders | 0.00% |
| Other orders as percentage of total non-directed orders | 0.00% |